

Corrigendum

Standard	DAPB0086 Data Security and Protection Toolkit
Release	Amd 36/2021, Version 4 Release 2021-22 (20 July 2021 to 30 June 2022)
Date of ISCE publication	20 July 2021
Date of Corrigendum	19 May 2022

Background

DAPB0086 Amd 36/2021 Data Security and Protection Toolkit was published on 20 July 2021. Since then, the need for a minor correction to the standard has been identified. A summary is provided below.

Note that there is no impact on the published Requirements Specification or Implementation Guide, but an updated **Requirements Specification: Appendix 1 - Assertions and Evidence Statements, Change Specification and Change Specification: Appendix A - DSP Toolkit 2020-21 (version 3.1) to 2021-22 (version 4.0) mapping** and have been issued and should be read in conjunction with this Corrigendum.

Summary

Three sets of changes are required across the Requirements Specification: Appendix A, the Change Specification and the Change Specification: Appendix A.

1. Requirements Specification: Appendix 1 - Assertions and Evidence Statements

In the '21-22 requirements' tab, the item **Is your organisation compliant with the national data opt-out policy?** (v4 21-22 Evidence ref: 1.2.4, row 13) has been changed, and is no longer **'Required to meet standard (mandatory)'** for any of the organisation categories.

The following cells have been amended to reflect this:

- Change summary (E13)
- Required to meet standard (mandatory) - NHS Trusts and CSUs (Category 1) (cell G13)
- Required to meet standard (mandatory) - CCG and ALBs (category 2) (cell I13)
- Required to meet standard (mandatory) - Others (Category 3) (cell K13)
- Required to meet standard (mandatory) - GP (Category 4) (cell M13)

2. Change Specification

In the 'Total number of mandatory evidence items' table (page 6), the row **Total number of mandatory evidence items 2021-22 v4** has changed, from

	Category 1 organisations	Category 2 organisations	Category 3 organisations	Category 4 organisations
Total number of mandatory evidence items 2019-20	116	106	56	42
Total number of mandatory evidence items 2020-21 v3.1	111	89	45	31
Total number of mandatory evidence items 2021-22 v4	110	89	43	29

to

	Category 1 organisations	Category 2 organisations	Category 3 organisations	Category 4 organisations
Total number of mandatory evidence items 2019-20	116	106	56	42
Total number of mandatory evidence items 2020-21 v3.1	111	89	45	31
Total number of mandatory evidence items 2021-22 v4	109	88	42	28

3. Change Specification: Appendix A - DSP Toolkit 2020-21 (version 3.1) to 2021-22 (version 4.0) mapping

In the 'Changes to v3' tab, under 'Change from v3.1 to v4' (column C), the item **Is your organisation compliant with the national data opt-out policy?** (Evidence ref: 1.4.4, row 13) has changed from

Newly mandatory cat 1, 2, 3 and 4

to

No changes (note, 1.4.4 was initially mandatory in v4 (2021-22) but was subsequently changed to non-mandatory in response to a change to the national data opt-out deadline).

These changes have been made to align with a change to the conformance date for **DCB3058 Compliance with National Data Opt-outs** (National Data Opt-Out (NDOO)).

At the time of publication of DAPB0086 Amd 36/2021, the conformance date for DCB3058 was 30 September 2021, but the conformance date of DCB3058 has now been extended to 31 July 2022, one month after the DAPB0086 Amd 36/2021 30 June 2022 conformance date. As such, the developers of DAPB0086 have adjusted the mandatory nature of the requirement **Is your organisation compliant with the national data opt-out policy** to correspond with this.

More information

The controlled version of this Corrigendum is published alongside the current publication documents on the NHS Digital website: <http://digital.nhs.uk/isce/publication/dapb0086>

Any questions about these changes should be sent to the developers of the standard via the Data Security and Protection Toolkit website <https://www.dsptoolkit.nhs.uk/Home/Contact>