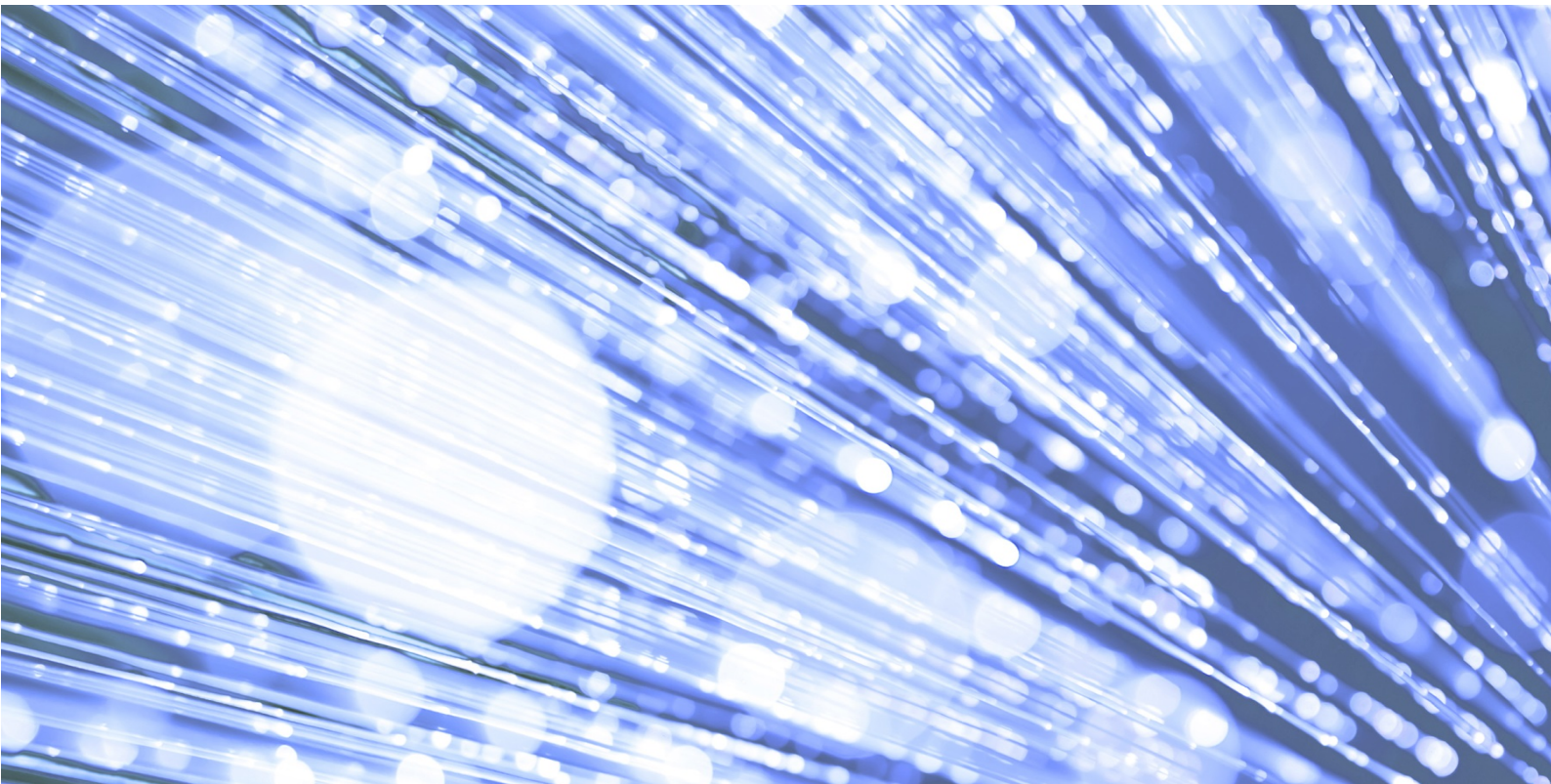


DCB2007 Amd 35/2020

# Assuring Transformation

Change Specification

Published 23 December 2020



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# Data Coordination Board

This information standard (DCB2007) has been approved for publication by the Department of Health and Social Care under [section 250 of the Health and Social Care Act 2012](#).

Assurance that this information standard meets the requirements of the Act and is appropriate for the use specified in the specification document has been provided by the Data Standards Assurance Service (DSAS) and approved by the Data Coordination Board (DCB).

This information standard comprises the following documents:

- Requirements Specification
- Change Specification
- Implementation Guidance
- Data Set Specification.

An Information Standards Notice (DCB2007 Amd 35/2020) has been issued as a notification of use and implementation timescales. Please read this alongside the documents for the standard.

The controlled copies of these documents can be found on [the NHS Digital website](#). Any copies held outside of that area, in whatever format (e.g. paper, email attachment), are considered to have passed out of control and should be checked for currency and validity.

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## Glossary:

A glossary is available in the 'Requirements Specification'.

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# 1. Overview

The purpose of this document is to outline the changes being made to the Assuring Transformation Information Standard.

## 1.1 Background

The Department of Health and Social Care published *Transforming Care: A national response to Winterbourne View Hospital*<sup>1</sup> and the *Concordat: Programme of Action*<sup>2</sup> in December 2012. The first document describes the facts about Winterbourne View, the changes needed in the system and looks at what the Government needs to do based on the lessons learnt. The review of services received indicated that failings were widespread within the operating organisation but importantly also evident across the wider care system. The Concordat and sixty-three actions detailed within the review seek to address poor and inappropriate care and achieve the best outcomes for people with a learning disability or autistic people, who may also have mental health needs or behaviour that challenges.

Since then, NHS England has published *Building the Right Support*<sup>3</sup>, which gives commissioners a clear framework to develop more community services for people with learning disabilities and / or autistic people who display behaviour that challenges, including those with a mental health condition, and close some inpatient facilities.

The purpose of this data collection is to ensure that the public reporting on progress to implement the NHS commitments in the Winterbourne View Concordat is transparent and robust. This data collection also enables NHS England to measure its progress against commitments in the NHS Long Term Plan (<https://www.longtermplan.nhs.uk/>) around quality of care and reducing reliance on inpatient care.

Further details on scope of the changes to Assuring Transformation can be found in the Requirements Specification 3.0

<sup>1</sup> <https://www.gov.uk/government/publications/winterbourne-view-hospital-department-of-health-review-and-response>

<sup>2</sup> [https://www.gov.uk/government/uploads/system/uploads/attachment\\_data/file/213217/Concordat.pdf](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/213217/Concordat.pdf)

<sup>3</sup> <https://www.england.nhs.uk/learning-disabilities/natplan/>

## 2. Change Specification

There are additions to the question set to reflect the NHS commitments as outlined in the Long Term Plan (<https://www.longtermplan.nhs.uk/publication/nhs-long-term-plan/>). The changes will enable NHS England to monitor the implementation of the commitments and report on progress. In addition, there are amendments to the established question set to improve the validity, accuracy and reliability of responses.

A full list of the changes, data items, rationale for change and conformance dates can be found in the Assuring Transformation Data Specification v3.0 document on the Information Standards and Collection webpage: <https://digital.nhs.uk/isce/publication/dcb2007>

Supporting user guidance is also available on the NHS Digital website. The user guidance will be updated in early 2021 to reflect the changes for Assuring Transformation v3.0. The NHS Digital website can be found at:

<https://digital.nhs.uk/data-and-information/data-collections-and-data-sets/data-collections/assuring-transformation/content>

This document should also be read in conjunction with the Requirements Specification for the standard, the Implementation Guidance and Assuring Transformation Data Specification v3.0 documents. Please pay particular attention to the conformance dates detailed in the Assuring Transformation Data Specification v3.0 document: some data items have a conformance date of 1st April 2021; the others have a conformance date of 1<sup>st</sup> June 2021.

### 2.1 New Items

An additional 20 questions have been included in the collection (new questions on the 12-point Discharge Plan are made up of 18 sub questions).

The purpose of adding the additional questions is to better report progress against the [NHS Long Term Plan](#) and understand the patient cohort and improve services for inpatients. This includes the following areas:

- restrictive interventions (until this data is of sufficient quality in the Mental Health Services Data Set),
- eligibility for Care, (Education) and Treatment Reviews,
- monitoring of patients against the 12-point Discharge Plan,
- Commissioner Oversight Visits,
- reasons for Out of Area Placements,

- date of diagnoses,
- Dynamic Risk Register prior to admission,
- Education, Health and Care Plan (EHCP),
- named key workers,
- ward status.

More detailed information will be required in the following areas:

- patient diagnoses,
- support for inpatients,
- reason for admission,
- reasons for not using an advocate,
- outcomes of most recent Care and Treatment Reviews,.
- details of patient's care plan,
- where patient is transferring to,
- reasons given for planned transfer of care not having an agreed date.

## 2.2 Amendments

Some data items have been amended:

- to better reflect activity for inpatients, and to improve data accuracy and completeness,
- to better align Assuring Transformation with the Mental Health Services Data Set (MHSDS), in order to eliminate some data items in Assuring Transformation in the future once data capture in the MHSDS is of sufficient quality and completeness.

Recent consultation shows that the majority of commissioners either already routinely collect this data, or it could be collected within 1-2 months.

The deletion of an existing question and inclusion of new questions means that existing question number allocation will change, and submitters will need to ensure that their processes for collating data and inputting into the Clinical Audit Platform (CAP) system are adjusted as required.

There are also minor changes to validations within the Clinical Audit Platform (CAP) system to improve the robustness of the data when captured, and improvements to guidance provided to submitters.

## 2.3 Deletions

One question about whether the service is CQC compliant has been deleted because the data can be more accurately sourced from elsewhere and because all hospitals must be CQC compliant for care to be commissioned.

## 2.4 Question Validations

Data validations are applied when data is entered on the CAP system. Details of new validations are available in the user guidance on the Assuring Transformation webpage.

## 2.5 System Validation Enhancements

In order to continuously improve system performance and data quality, for a small number of questions the functionality of the CAP system will be altered in order to enhance the quality of the data submitted (e.g. new validations).

Users have been made aware of prior changes to validations on the live CAP system directly through a targeted email and regular updates via an NHS Digital issued newsletter to ensure they are fully aware of the changes and the effect on their data. Users will also be contacted through a targeted email when new guidance documents are published.

To sign up to the newsletter, email NHS Digital via [ATdata@nhs.net](mailto:ATdata@nhs.net) (please include 'FAO Assuring Transformation' in the subject line).

It is expected that this change will have a positive impact on data quality as the likelihood of incorrect data being entered onto the system should now decrease.

## 2.6 Improved Guidance for Submitters

Guidance documents have been updated to accommodate the new questions and reflect changes to existing questions. There are several user guidance documents available for submitters, including technical guidance for using the CAP system and an AT Summary Guidance document. A full list of available documents is provided in the Requirements Specification.

## 2.7 Compliance and Mandation of Questions

The Assuring Transformation Data Specification v3.0 and the Requirements Specification contain more information and are available on the Information Standards and Collection webpage:

<https://digital.nhs.uk/isce/publication/dcb2007>

For each question in the data collection, the Data Specification indicates whether it is 'unchanged', 'amended', 'new', 'replaced' or 'removed'. It also indicates when new, replaced and amended questions will appear on CAP and the date at which they will become mandatory:

Where data items have not changed in Assuring Transformation from v2.0 to v3.0, the system and care commissioners **MUST** already be fully conformant.

Amended questions have relatively minor changes (as specified in v3.0) and the system and care commissioners **MUST** be fully conformant from 1st April 2021.

For most new and replacement questions (as specified in v3.0), there will be a non-mandatory period between 1st April and 31<sup>st</sup> May 2021 where the system and care commissioners **MAY** be fully conformant. Most new or replacement questions will be available on CAP for completion during this period and commissioners are encouraged to submit responses wherever possible.

Most new or replacement questions (as specified in v3.0), are mandatory from 1<sup>st</sup> June 2021) and the system and care commissioners **MUST** be fully conformant from this time.

A small number of new or replacement questions (as specified in v3.0), are mandatory from 1st April 2021 (e.g. where the data are critical and should already be readily available to the commissioner) and the system and care commissioners **MUST** be fully conformant from this time.

### 3. Communication

System suppliers are notified of the changes through the publication of the relevant ISNs following approval and should perform the updates as specified in their contract(s).

Once made available, individual users of the systems will update the patient records for which they are responsible by the conformance date specified in the ISN.

Submitting organisations will be informed of changes as and when required through direct emails and communication from NHS Digital.

NHS Digital also communicate to data submitters via an Assuring Transformation Newsletter. This is used to inform submitters of any changes or issues with the collection or technical issues affecting the collection.