



Department  
of Health &  
Social Care

Department of Health and Social  
Care  
39 Victoria Street  
London SW1H 0EU

Amanda Pritchard  
Chief Executive  
NHS England

08 June 2023

Dear Amanda,

### **Collection of Client Level Adult Social Care Data (No.3) Directions 2023**

- 1.1 I am writing on behalf of the Secretary of State for Health and Social Care (**the Secretary of State**) to give Directions to NHS England.
- 1.2 These Directions are given in exercise of the powers conferred by sections 254(1) and 304(9), (10), (11) and (12) of the Health and Social Care Act 2012<sup>1</sup> (**the 2012 Act**) and sections 13ZC and 272(7) and (8) of the National Health Service Act 2006<sup>2</sup> (**the 2006 Act**).
- 1.3 These Directions are to be known as the **Collection of Client Level Adult Social Care Data (No.3) Directions 2023** and come into force on the date after the day on which they are signed.

#### **2. Requirement for these Directions**

- 2.1 In accordance with section 254(2)(a) of the 2012 Act, the Secretary of State considers that the information which could be obtained by complying with these Directions is information which it is necessary or expedient for the Secretary of State to have in relation to the exercise by the Secretary of

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<sup>1</sup> 2012 c.7 Relevant amendments were made by the Health and Social Care Information Centre (Transfer of Functions, Abolition and Transitional Provisions) Regulations 2023 (S.I. 2023/98).

<sup>2</sup> Section 13ZC was inserted by section 45 of the Health and Care Act 2022 (c.31).

State of his functions in connection with the provision of health services or of adult social care in England.

2.2 In accordance with section 254(5) of the 2012 Act, the Secretary of State has consulted NHS England before giving these Directions.

2.3 In accordance with section 13ZC(5) of the 2006 Act, the Secretary of State considers these Directions to be in the public interest.

### 3. **Priorities, Policies, Advice, Guidance and Governance**

In exercising functions under these Directions, NHS England must have regard to such priorities, policies or advice of the Secretary of State as the Secretary of State may notify in writing from time to time to NHS England<sup>3</sup>.

### 4. **Purpose**

The purpose of these Directions (**Purpose**) is to deliver comprehensive data about adult social care services and enable key aspects of adult social care service provision and integrated health pathways across England to be analysed and reported on at a national level. This will also enable Local Government and Integrated Care Boards to fulfil their statutory functions, in connection with the provision of health services and of adult social care in England for example, functions of local authorities by virtue of Part 1 of the Care Act 2014, such as<sup>4</sup>:

- 4.1 monitoring, at a population level, particular cohorts of service users and designing analytical models which support more effective interventions in health and adult social care;
- 4.2 monitoring service and integrated care outcomes across a pathway or care setting involving adult social care;
- 4.3 developing, through evaluation of person-level data, more effective prevention strategies and interventions across a pathway or care setting involving adult social care;
- 4.4 designing and implementing new payment models across health and adult social care; and
- 4.5 understanding current and future population needs and resource utilisation for local strategic planning and commissioning purposes including for health, social care and public health needs.

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<sup>3</sup> Section 274A of the 2012 Act requires the Secretary of State to publish guidance for NHS England about the exercise of its relevant data functions, and its other functions in connection with its relevant data functions. "Relevant data functions" includes functions conferred on NHS England by or under Chapters 2 and 3 of the 2012 Act (see section 253(3) of the 2012 Act) and therefore includes functions conferred by these Directions. NHS England must have regard to guidance published under section 274A (see section 274A(3)).

<sup>4</sup> The listed functions are considered to be ancillary to functions of local authorities under Part 1 of the Care Act 2014 – see also guidance on the Act.

## 5. Establishing and operating information systems for the Collection of Client Level Adult Social Care Data (No.3) Directions 2023

- 5.1 The Secretary of State directs NHS England to establish and operate a system for the collection and analysis of information described in the Adult Social Care Client Level Data (No 3) Collection Requirements Specification version 1 approved on 8 June 2023 published with these Directions, as updated periodically by the Secretary of State in consultation with NHS England (**the Requirements Specification**).
- 5.2 The system to be established and operated is to be known as the **Collection of Client Level Adult Social Care Data (No.3) Information System**.
- 5.3 The Secretary of State directs NHS England to analyse the information which NHS England obtains by complying with these Directions (**the Information**), as may be required by the Secretary of State, or as NHS England considers necessary, to achieve the Purpose.
- 5.4 Such analysis includes:
  - 5.4.1 analysis by reference or linkage to other data as described in the Requirements Specification, including but not limited to data obtained under Collection of Client-Level Adult Social Care Data (No.2) Directions;
  - 5.4.2 analysis for data quality purposes, including undertaking comparison and consistency analysis of the same or similar information obtained by complying with other directions under section 254 or requests made under section 255 of the 2012 Actand the Secretary of State directs NHS England to take such steps as NHS England considers appropriate to maintain data quality, accuracy and consistency of the information in relation to the Information.
- 5.5 In exercising functions under these Directions, NHS England must act in accordance with the Requirements Specification and generally in such a way as to achieve the Purpose.

## 6. Service Levels etc

In exercising functions under these Directions, NHS England must act in accordance with the service levels, support and monitoring requirements, and the reporting and governance requirements notified by the Secretary of State in writing to NHS England as at the date these Directions come into force, or as those requirements are amended and notified by an authorised officer of the Department for Health and Social Care on behalf of the Secretary of State in writing to NHS England from time to time

## 7. Fees and Accounts

NHS England must keep proper accounts, and proper records in relation to the accounts, in connection with these Directions.

## 8. Duration

NHS England must exercise functions under these Directions until they are revoked by a further direction in writing by an authorised officer of the Department of Health and Social Care on behalf of the Secretary of State.

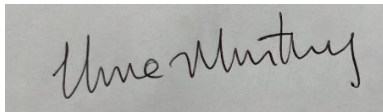
## 9. Review of these Directions

These Directions will be reviewed from time to time. Any amendments to the Directions or the Specifications will only be made after consultation with NHS England.

## 10. Revocation

The Collection of Client-Level Adult Social Care Data (No.2) Directions issued on 7 December 2020<sup>5</sup> by the Secretary of State are revoked.

Yours sincerely

A rectangular box containing a handwritten signature in cursive script, which appears to read "Anne Murray".

Signed by authority of the Secretary of State

Date signed: 08 June 2023

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<sup>5</sup> [Collection of Client-Level Adult Social Care Data \(No 2\) Direction - NHS Digital](#)