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Requirements Specification for Collection of Adult Social Care Client Level Data (No 3)

Document management

Revision History

Version	Date	Summary of Changes
1.0	7/6/2023	Final

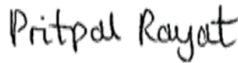
Reviewers

This document must be reviewed by the following people:

Reviewer name	Title / Responsibility	Date	Version
Sarah Liley	Analytical Section Head	16/3/2023	0.3
Kevin Willis	Head of Information Law	23/3/2023	0.3
Jackie Gray	Director of Privacy Transparency and Ethics	26/4/2023	0.3
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Approved by

This document must be approved by the following people:

Name	Signature	Title	Date	Version
Pritpal Rayat		Information Analysis Lead Manager and Information Asset Owner	08/06/23	1.0

Glossary of Terms

Term / Abbreviation	What it stands for
DARS	Data Access Request Service
DHSC	Department of Health and Social Care
DPIA	Data Protection Impact Assessment
ICB	Integrated Care Board
LA	Local Authority

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Purpose of document

This document sets out the requirements for the Adult Social Care Client Level Data Collection and should be read alongside the

- **Collection of Client Level Adult Social Care Data (No.3) Directions 2023, issued** by the Secretary of State for Health and Social Care

Introduction / Purpose of data collection

The Department of Health and Social Care has asked for the mandation of the collection that commenced a pilot, as a voluntary collection with a limited number of Local Authorities, under the [Collection of Client-Level Adult Social Care Data Direction 2017](#) and continued under [Collection of Client-Level Adult Social Care Data \(No 2\) Direction \(No.2 Direction\)](#).

The data to be collected under the Collection of Client-Level Adult Social Care Data (No.3) Direction (the Direction) will be processed for the purposes of delivering comprehensive data about adult social care services and enable key aspects adult social care service provision, including integrated health and care pathways, across England to be analysed at a national level.

National analysis will provide aggregate data which may be released as management information to support more timely availability of key metrics. Subject to data quality, coverage and completeness, this data may also be used to support the official statistics and publication going forwards.

This will also enable Local Government and Integrated Care Boards to fulfil their statutory functions, in connection with the provision of health services and of adult social care in England. Data will be dissemination through the Data Access Request Service (DARS), in order to assist Local and National Government, Integrated Care Boards (ICBs) and other integrated health and care commissioning bodies such as those that are part of integrated care systems, in fulfilling their statutory functions. This includes, for example, the functions of Local Authorities (LAs)¹

- a. Monitoring, at a population level, particular cohorts of service users and designing analytical models which support more effective interventions in health and adult social care.
- b. Monitoring service and integrated care outcomes across a pathway or care setting involving adult social care.
- c. Developing, through evaluation of person-level data, more effective prevention strategies and interventions across a pathway or care setting involving adult social care.
- d. Designing and implementing new payment models across health and adult social care.

¹ [Care Act 2014 \(c.23\)](#)

- e. Understanding current and future population needs and resource utilisation for local strategic planning and commissioning purposes including for health, social care and public health needs.

This collection and analysis will provide comprehensive data about adult social care services, including integrated health and adult social care pathways, and enable key aspects of service provision across England to be analysed by NHS England and reported at a national level. The outputs are intended to support the delivery of the principles set out in the [Care data matters: a roadmap for better data for adult social care](#) published by the UK government. The analysis of the data collected will provide improved information on the data quality as well as more granular insight than the current aggregate activity collection ([Short and Long Term Support](#)) allows.

The collection will be mandated through a Data Provision Notice (using NHS England's powers under Section 259 of the Health and Social Care Act 2012) issued to Local Authorities, requiring the Local Authorities to provide the data from the collection date specified.

Data collection

Scope

England

Source

Local Authorities in England and organisations commissioned by Local Authorities to provide adult social care services on their behalf.

Category

Personal data including reviews, assessments and services as per the DHSC Data Requirements Specification which is published here <https://www.ardengemcsu.nhs.uk/asccld>

Frequency

Mandatory data collection by Local Authorities will be on a quarterly basis (however there will be the functionality to submit monthly where possible) commencing 1st April 2023, with the first submission to NHS England from the 1 July 2023.

Analysis

Internal processing

Processing of data is key in turning raw data into understandable intelligence on all aspects of performance, care and efficiency relating to provision of Adult Social Care. NHS England on receipt of personal data submissions from local authorities, will process the data in the following ways:

- a. The data set will be received into NHS England in identifiable form, NHS number tracing and data quality checks will be undertaken. Data quality of fields will be monitored against the Data Requirements Specification and other demographic data.

- b. Where incorrect data is found this will be provided back to the submitting organisation as part of a data quality report, highlighting the errors to enable the data to be corrected and resubmitted;
- c. The data will be linked with Adult Social Care Data collected under the No.2 Directions;
- d. The NHS England will carry out various processing on the patient level data to complete a pseudonymisation process so the data remains patient level (so it can be linked to other datasets if there is a legal basis to do so) but in de-identified form. Processing.

Primary Analysis will be undertaken to support the Purposes of the Directions to enable key aspects of service provision across England to be analysed by and reported at a national level. Also in order provide reports to Local Authorities and ICB's to support them with their statutory functions. Analysis will be undertaken to produce reports that will:-

- provide aggregate outputs to provide time series continuity of key adult social care activity metrics with the appropriate methodological caveats;
- derive metrics frequently requested by the media or through Parliamentary Questions which aren't presently available from the aggregate collection;
- produce aggregate reports as management information to support more timely availability of key metrics regarding adult social care provision at a national level. Subject to data quality, coverage and completeness, this data may also be used to support the official statistics and publications going forwards;
- provide aggregate national reporting in relation to pathways of integrated social care and health provision to support operational delivery of Local Authorities, ICBs and their associated systems.

In future it is expected that linkage of adult social care data and health data, where it is approved, will help us understand how people move between adult social care and health so that we can gain better insights into care outcomes, which interventions work best and how local system partners work together. Where there is a proposal to link data obtained under the Directions with other data that NHS England has obtained, this Specification will be reviewed in line with the change control process to ensure the linkage is aligned with the Directions.

Internal Access

It is also anticipated that data obtained under this Direction may be analysed under the NHS England De-Identified Data Analytics and Publication Directions 2023 (Data Analytics Direction). Any analysis under the Data Analytics Direction will only occur where approved in accordance with Information Governance procedures and controls, including where required in accordance with the statutory guidance issued under s274A of the 2012 Act, advice from the NHS England Advisory Group for Data.

Consultation

As an iterative collection, consultation took place for the original pilot, which was restricted to a few local authorities in Northwest England only. The final specification has evolved over time, incorporating feedback from local authorities and stakeholders alike. Public consultation has also taken place ahead of mandating the collection.

Dissemination/Sharing

Regular Dissemination/Sharing

Data quality reports will be provided to Local Authorities, by NHS England, on the data they have submitted, highlighting the errors. This will allow Local Authorities to review the data quality and resubmit the data.

Data Access Request Service (DARS)

Organisations are able to apply to the Data Access Request Service (DARS) and on approval, with the appropriate legal basis, have access to data obtained under the Direction. Any dissemination will be subject to the organisations applying to access the data having a lawful basis to process it, NHS England having a lawful basis to disclose it, successful applications being made to the NHS England Data Access Request Service (DARS) and the organisations entering into a data sharing agreement.

For the avoidance of doubt, through this process and NHS England's discretionary powers, DHSC and Local Authorities can access pseudonymised individual-level records for analysis.

Publication

Data to be published

NHS England will publish information which it obtains by virtue of these Directions, in accordance with s260 of the 2012 Act.

As national coverage increases through mandation, aggregate data may be released as Management Information to support more timely availability of key metrics. Subject to data quality, coverage and completeness, this data may also be used to support Official Statistics publications going forwards.

Any information that is published will be in accordance with the [Code of Practice for Statistics](#).

Change control process

Changes to this Specification will be managed by NHS England in conjunction with DHSC to ensure such changes are aligned with the Direction. Local Authorities on the project reference group would be consulted as part of this process – the full governance process is currently being revisited.