

Data Provision Notice

Personal Health Budgets

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Version: 1.0

Published: 7 April 2022



Information and technology
for better health and care

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Background

The Health and Social Care Act 2012 (the Act) gives the Health and Social Care Information Centre, now known as NHS Digital and hereafter referred to by this name, statutory powers, under section 259(1)(a), to require data from health or social care bodies, or organisations that provide publicly funded health or adult social care in England, where it has been directed to establish an information system by the Secretary of State for Health and Social Care or NHS England.

The data, as specified by NHS Digital in this published Data Provision Notice, is required to support a direction from NHS England to NHS Digital. Therefore, organisations that are in scope of the notice are legally required, under section 259(5) of the Act, to provide the data in the form and manner specified below.

Purpose of the collection

Personal health budgets are not new money, but may mean spending money differently to ensure that people get the care they need. The right to have a personal health budget currently only applies to adults receiving [NHS Continuing Healthcare](#) and children in receipt of continuing care, but all areas of England are being encouraged to offer personal health budgets to other patient groups.

The [NHS England - Personal health budgets \(PHBs\)](#) sets an ambitious objective that up to 200,000 people will benefit from a PHB by 2023/24. The Long-Term Plan Implementation Framework required Clinical Commissioning Groups (CCGs) to submit trajectories via their Sustainability and Transformation Partnerships (STP) for the numbers of personal health budgets to be in place by end 2023/24. The data collected under this Data Provision Notice (DPN) on quarterly activity will be used to monitor progress against these objectives.

Benefits of the collection

Personal Health Budgets submissions are used as a measure of progress for the - [NHS commissioning - NHS Oversight and Assessment \(england.nhs.uk\)](#) every three months. NHS England will use the national headline data to evidence progress regarding the move to personalised care. Clinical Commissioning Groups, and any bodies corporate established with the function of arranging for the provision of services for the purposes of the health service in England, will have a clear view of progress in their area to monitor and evaluate.

Legal basis for the collection, analysis, publication and dissemination

NHS Digital has been directed by NHS England under section 254 of the Health and Social Care Act 2012; to establish and operate a system for the collection and analysis of the information specified for this service. A copy of the Direction is published here:

Personal Health Budget (Data Collection) Directions 2021: <https://digital.nhs.uk/about-nhs-digital/corporate-information-and-documents/directions-and-data-provision-notice/nhs-england-directions/personal-health-budget-directions-2021>

It should be noted here that the data collected by NHS Digital is not personal data under the UK GDPR definition but aggregated counts of people.

This information is required by NHS Digital under section 259(1)(a) of the Health and Social Care Act 2012.

In line with section 259(5) of the Act, all organisations in scope, in England, must comply with the requirement and provide information to NHS Digital in the form, manner and period specified in this Data Provision Notice.

Under section 260(4)(a) of the Act, NHS Digital is directed to publish the information obtained by complying with the Directions in a form, manner and timing as agreed with NHS England. The data, badged as management information, will be published on the NHS Digital website as a statistical publication series.

The data collected from 2017 until Q2 2019-20 was shared with NHS England and NHS Improvement only. Data for Q3 2019-20 was collected and published on the NHS Digital website: historical data was also published on the NHS Digital website as it had previously been published by NHS England here: <https://www.england.nhs.uk/publication/personal-health-budgets-mandatory-data-collection-guidance-and-data/>. All historical data will now be published to provide a single source of data.

This Notice is issued in accordance with the procedure published as part of NHS Digital's duty under section 259(8) of the Act.

Persons consulted

Following receipt of a direction to establish a system to collect Personal Health Budgets data, NHS Digital has, as required under section 258 of the Act, consulted with the following persons:

- The Department of Health and Social Care completed a public consultation with data providers, the consultation and outcome is available here: <https://www.gov.uk/government/consultations/personal-health-budgets-and-integrated-personal-budgets-extending-legal-rights>
- A pilot study involving 20 pilot sites was conducted for an in-depth study. A Policy paper documenting the findings is available here: <https://www.gov.uk/government/publications/early-experiences-of-implementing-personal-health-budgets>
- The Data Alliance Partnership Board (DAPB) has been established as part of a system-wide information and technology governance model. The DAPB acts under delegated authority from the Secretary of State as the main governance route through which all data collections and standards requirements are agreed and priorities assigned.

Scope of the collection

Under section 259(1)(a) of the Act, this Notice is served in accordance with the procedure published as part of the NHS Digital duty under section 259(8) on the following persons:

- Clinical Commissioning Groups, and any bodies corporate established with the function of arranging for the provision of services for the purposes of the health service in England

Under section 259(5) of the Act, the organisation types specified in the above Scope must comply with the Form, Manner and Period requirements below:

Form of the collection

The current Personal Health Budgets collection is split between 4 tables collecting:

Quarterly data

This table collects

1. Total Number of people with an open Personal Health Budget on the first day of the Quarter
2. Personal Health Budgets Started in Quarter
3. Personal Health Budgets Ended in Quarter

Cumulative data

4. Cumulative Personal Health Budgets Year To Date (YTD)

Included in this section is the total number of PHBs open on the first day of Quarter 1 added to the total number of new PHBs set up within this financial year. This is a cumulative figure.

Subgroup data – Children and Young People

5. Total number of children and young people with a personal health budget for your CCG YTD.
6. How many children receiving continuing care had a personal health budget YTD? Include in this section children who received continuing care funding for all or part of their care.
7. How many children and young people with education, health and care plans had a personal health budget YTD? Include in this section children and young people who have statements or Education, Health and Care plans.
8. How many children with a learning disability and/or autism had a personal health budget YTD?
9. How many children who have a primary mental healthcare need had a personal health budget in the YTD?
 - 9a. Of those, how many children were eligible for section 117?
10. How many children have a personal wheelchair budget in the YTD?
11. How many other children had a personal health budget YTD? Include in this section children who are not receiving continuing care funding and who do not have an education, health and care plan. Other children with joint funding could be included here.

Subgroup data – Adults

12. Total number of adults with a personal health budget for your CCG in the YTD.
13. How many adults receiving NHS continuing healthcare had a personal health budget in the YTD?
 - 13a. Of those, how many people were on the fast track process?
14. How many adults receiving joint-funded packages had a personal health budget in the YTD?
15. How many adults with a learning disability and/or autism had a personal health budget in the YTD?
16. How many adults who have a primary mental healthcare need had a personal health budget in the YTD?
 - 16a. Of those, how many people were eligible for section 117?
17. How many adults have a personal wheelchair budget in the YTD?
18. How many other adults not included in the four sub-categories above had a personal health budget in the YTD?

See Appendix 1 for a visual of the submission template.

Manner of the collection

The data is collated on an excel template and submitted to NHS Digital via the Strategic Data Collection Service (SDCS) online data collection platform.

Further information on SDCS is available here: <https://digital.nhs.uk/data-and-information/data-collections-and-data-sets/data-collections/strategic-data-collection-service-sdcs>.

Period of the collection

This collection started in April 2017, and data is submitted on a quarterly basis (on the first working day of each quarter for the previous quarter's data). A full submission timetable is available on the NHS Digital Data Collections website:

<https://digital.nhs.uk/data-and-information/data-collections-and-data-sets/data-collections/personal-health-budget-phb>

Data are published on the NHS Digital website and can be found here:

<https://digital.nhs.uk/data-and-information/publications/statistical/personal-health-budgets>

Data quality

- data quality validations are written into the data submission template to ensure the data is validated at the point of submission
- guidance around the collection specifics and questions are available here: <https://digital.nhs.uk/data-and-information/data-collections-and-data-sets/data-collections/personal-health-budget-phb>

Burden of the collection

Steps taken by NHS Digital to minimise the burden of collection

In discharging its statutory duty to seek to minimise the burden it imposes on others NHS Digital has adopted existing mechanisms for the submission of data which are already in use by the data providers in scope of this collection.

Example: NHS Digital has sought to minimise the burden on general practices by using existing data extract technology, rather than requesting information in another format which may be more burdensome to process.

In addition, in seeking to minimise the burden it imposes on others, in line with sections 253 (2a) and 265(3) of the Health and Social Care Act 2012, NHS Digital has an assessment process to validate and challenge the level of burden incurred through introducing new information standards, collections and extractions.

This process is carried out by the Data Standards Assurance Service (DSAS) which assures burden assessment evidence as part of the overarching Data Alliance Partnership Board (DAPB) approval process. A sub board of the DAPB, acting under authority of the Secretary of State, oversees the assurance, approval and publication of information standards and data collections for the health and social care system in England.

Assessed costs

The associated burden of the data collection is:

Burden on providers	£130,708	As an established collection, this is the estimate of total costs incurred by all CCGs on an annual ongoing basis (as at December 2019).
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Appendix 1 - Visual of the submission template

Quarterly data	Total number			
1. Total Number of people with an open Personal Health Budget on the first day of the Quarter				
2. Personal Health Budgets Started in Quarter				
3. Personal Health Budgets Ended in Quarter				
Cumulative Data	Total number	Delivered as a Direct payment	Delivered as a third party budget	Delivered as a notional budget
4. Cumulative Personal Health Budgets Year To Date (YTD)				
Subgroup data - Children and young people	Total number	Delivered as a Direct payment	Delivered as a third party budget	Delivered as a notional budget
5. Total number of children and young people with a personal health budget for your CCG in the YTD?				
6. How many children receiving continuing care had a personal health budget in the YTD?				
7. How many children and young people with education, health and care plans had a personal health budget in the YTD?				
8. How many children with a learning disability and/or autism had a personal health budget in the YTD?				
9. How many children who have a primary mental healthcare need had a personal health budget in the YTD?				
9a - Of those, how many children were eligible for section 117?				
10. How many children have a personal wheelchair budget in the YTD?				
11. How many other children had a personal health budget in the YTD?				
Subgroup data - Adults	Total number	Delivered as a Direct payment	Delivered as a third party budget	Delivered as a notional budget
12. Total number of adults with a personal health budget for your CCG in the YTD?				
13. How many adults receiving NHS continuing healthcare had a personal health budget in the YTD?				
13a. Of those, how many people were on the fast track process?				
14. How many adults receiving joint-funded packages had a personal health budget in the YTD?				
15. How many adults with a learning disability and/or autism had a personal health budget in the YTD?				
16. How many adults who have a primary mental healthcare need had a personal health budget in the YTD?				
16a - Of those, how many people were eligible for section 117?				
17. How many adults have a personal wheelchair budget in the YTD?				
18. How many other adults not included in the sub categories above had a personal health budget in the YTD?				

For further information

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